# The Chairman of the Authority's Board of Directors' Resolution No. (18/Chairman) of 2026 Concerning the Amendment of the Chairman of the Authority's Board of Directors' Resolution No. (32) of 2018 Concerning Technical Services Fees Payable to the Authority

**The Chairman of the Capital Market Authority's Board of Directors,**

Having reviewed:

Federal Decree by Law No. (32) of 2025 Regarding the Capital Market Authority;

Federal Decree by Law No. (33) of 2025 Regarding the Regulation of the Capital Market;

Cabinet Resolution No. (13) of 2000 Concerning the Regulations as to the Functioning of the Securities and Commodities Authority, and amendments thereof;

Cabinet Resolution No. (51/2w) of 2025 Concerning the Restructuring of the Board of Directors of the Capital Market Authority;

The Resolution of the Chairman of the Authority's Board of Directors No. (32) of 2018 Concerning Technical Services Fees Payable to the Authority;

Based on the approval of the Authority's Board of Directors at its 9th meeting of the 9th term held on 09/06/2026; and

Pursuant to the requirements of the public interest,

**Has decided:**

## Article (1)

Article (1) of the Resolution of the Chairman of the Authority's Board of Directors No. (32/Chairman) of 2018 Concerning Technical Services Fees Payable to the Authority shall be amended as follows:

"The Authority shall collect the fees set out in the attached schedule, which are payable in consideration for the technical services provided by the Authority in implementation of the provisions of Federal Decree by Law No. (33) of 2025 Regarding the Regulation of Capital Market and the decisions issued thereunder ".

## Article (2)

New fees shall be introduced as set out in the table below and added to the schedule annexed to the Resolution of the Chairman of the Authority's Board of Directors No. (32/Chairman) of 2018 Concerning Technical Services Fees Payable to the Authority:

| Type of Activity/Service | Application Review Fee | Licensing/ Registration/ Listing/ Approval/ Accreditation Fee | Annual Renewal Fee (Licensing/ Registration/ Listing/ Approval/ Accreditation) | Cancellation/ Deregistration Fee (Licensing/ Registration/ Listing/ Approval/ Accreditation) |
|---|---|---|---|---|
| Approval of the public offering prospectus for shares of local public joint-stock companies, entities incorporated outside the State, and entities incorporated in free zones or financial free zones within the State. | - | 75,000 | - | - |
| Approval of amendments to the public offering | - | 2,000 | - | - |

| | | | | |
|---|---|---|---|---|
| prospectus for entities incorporated outside the State or entities incorporated in free zones or financial free zones within the State (post-approval). | | | | |
| Approval of extending the public offering period for a public joint-stock company, entities incorporated outside the State, and entities incorporated in free zones or financial free zones within the State. | - | 5,000 | - | - |
| Registration of capital increase shares for entities incorporated outside the State and entities incorporated in free zones or financial free zones within the State for listing purposes. | - | 30,000 | - | - |
| Approval of listing tradeable product contracts. | - | 5,000 | - | 1,000 |

| | | | | |
|---|---|---|---|---|
| Amendment of specifications for listed tradeable product contracts. | - | 2,000 | - | - |
| Licensing credit rating activity. | 5,000 | 30,000 | 15,000 | 1,000 |
| Registration of in-kind assets valuer for funds. | 1,000 | 10,000 | 2,000 + (1,000 × number of entities evaluated per year) | 1,000 |
| Request for temporary suspension of a license, approval, or registration. | - | 5,000 | - | - |

## Article (3)

The following fees shall be repealed from the schedule annexed to the Resolution of the Chairman of the Authority's Board of Directors No. (32/Chairman) of 2018 Concerning Technical Services Fees Payable to the Authority:

1. Fee for approval for a licensed company to change its address, head office, or branch location.
2. Fee for approval for a licensed company to launch promotional advertising campaigns for its services.
3. Fee for reviewing a proposed new investment fund.
4. Cancellation fee for the license of a local investment fund (standalone fund and protected cell fund).
5. Cancellation fee for the registration of a foreign fund for promotion purposes.

6. Cancellation fee for the registration of Global Depositary Receipts (GDRs) for listing purposes.
7. Cancellation fee for the issuance of covered warrants.
8. Fees for deciding on grievances.
9. Fee for approval to retrieve a bank guarantee letter deposited with the Market.
10. Fee for approval to retrieve a bank guarantee letter deposited with the Authority.
11. Fee for approval to combine permissible positions.
12. Fee for approval for a licensed entity to outsource the Risk Management Officer position.
13. Fee for approval for a credit rating agency to outsource the Credit Rating Analyst position to the parent company in cases where the licensed entity is a branch of a foreign company.
14. Fee for approval for a credit rating agency to outsource the Credit Rating Analysis Lead position to the parent company in cases where the licensed entity is a branch of a foreign company.
15. Cancellation fee for the registration of a financial product for promotion within the State.

## Article (4)

This Resolution shall be published in the Official Gazette and shall come into effect ten (10) business days following its publication date.

**Mohammed Ali Al Shorafa Al Hammadi**

**Chairman of the Board of Directors**

**Issued in Abu Dhabi on 23/07/2026**